107541539 - GAU: 1793

JC20 Rec'd PCT/PTO 08 JUL 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

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Shiro TORIZUKA et al.

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2005-1091A

Filed July 8, 2005

WARM CONTROL ROLLING METHOD [Corresponding to PCT/JP2004/007278

Filed May 20, 2004]

INFORMATION DISCLOSURE STATEMENT ...

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for the OTHER DOCUMENTS.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, ${\bf or}$

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE.

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
 - a. [] that each item of information contained in this Information Disclosure Statement
 was first cited in any communication from a foreign patent office in a counterpart
 foreign application not more than three months prior to the filing of the
 Statement, or
 - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

- For each non-English language reference listed on the attached form PTO-1449, reference is made to:
 - a. [] a full or partial English language translation submitted herewith,
 - b. [X] a foreign patent office search report (International Search Report) (in the English language) submitted herewith,
 - c. [X] the concise explanation contained in the specification of the present application at page 1 (document 1; see page 3)
 - d. [X] the concise explanation set forth in the attached English language abstract,
 - e. [] the concise explanation set forth below or on a separate sheet attached to the reference:
- [X] A foreign patent office search report (International Search Report) citing one or more
 of the references is enclosed.

Respectfully submitted,

Shiro TORIZUKA et al.

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Registration No. 25,134 Attorney for Applicants

MRD/pth Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 July 8, 2005

INFORMATION DISCLOSURE STATEMENT Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY DOCKET NO. 2005-1091A

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APPLICANT Shiro TORIZUKA et al. LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) FILING DATE GROUP 1793 Date Submitted to PTO: July 8, 2005 July 8, 2005 U.S. PATENT DOCUMENTS *EXAMINER DOCUMENT DATE CLASS NAME SUBCLASS FILING DATE IF INITIAL NUMBER APPROPRIATE AA ΔR AC. ΑD AF AF AG ΑН ΑI FOREIGN PATENT DOCUMENTS DOCUMENT DATE CLASS SUBCLASS COUNTRY TRANSLATION NUMBER YES /C.C./ A.I 2000-309850 11/2000 Japan AK 2002-137002 5/2002 Japan AL 2002-192201 7/2002 Japan AM 2003-253332 9/2003 Japan /C.C./ AN 2000-309823 11/2000 Japan OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) ΑO Omori et al., "Onkan Ta-Pass-Ko Roll Atsuen ni Yoru Chobisai Ferrite Soshikiko no Sosei", Tetsu to Hagane, Vol. 89, No. 7, pages 781-788, July 1, 2003. ΔP Akio Ohmori et al., "Mechanical Properties of Warm Rolled Steel Plates with Ultrafine-grained Ferrite and Comentite Structures", Current Advances in Materials and Processes, Vol. 14, page 1050, 2001 AΩ /Christine Chen/ EXAMINER DATE CONSIDERED